

- (5) If it has been declared by the Veterinary Officer to be unsuitable or unsafe.
- (6) If it has an implant other than one which is pharmacologically inactive.
- (7) If it is more than 128 days pregnant.
- (8) If it is one-eyed or blind of an eye.
- (9) If it has been subjected to the withdrawal and re-infusion of any homologous, heterologous or autologous blood products into its circulatory system with the exception of those procedures performed for life-saving purposes or in the use of veterinary regenerative therapies for the treatment of musculoskeletal injury or disease when performed under the supervision of a Veterinary Surgeon.
- (10) If it has been the subject of a neurectomy operation.
- (11) If it has been the subject of a laparoscopic castration operation unless a satisfactory veterinary certificate is provided which confirms that the horse is a gelding and that both testicles have been physically removed.
- (12) If it has been subject to the application of a substance intended to cause vesiculation (blistering) of the skin and/or underlying tissues.
- (13) If it has been subjected to chemical castration or immunocastration.

Rule 147. No horse shall be entitled to resume racing within 14 days of its return from the spelling stables or the rehabilitation stables.

Rule 148. If a horse, which is not qualified according to the provisions of these Rules, be entered or run for any race it shall on a protest/objection be disqualified for the race and the nominator and/or trainer and/or any other person responsible may be penalised by the Stewards.

Rule 149. If it is found that a horse that is presented for pre-race weighing or to run in a race, barrier trial, stalls test or grass gallop is not the horse that has been specified for such pre-race weighing, race, trial, test or gallop, the trainer and/or any other person responsible commits a breach of these Rules.

Corrupt Practices and Disqualification of Persons

Rule 150. Any person found guilty of a corrupt, fraudulent or improper act or practice whether or not his conduct constitutes a breach of any other of these Rules may be declared a disqualified person or be otherwise penalised by the Stewards of the Jockey Club or the Stewards in accordance with their powers.

Rule 151. Without prejudice to the generality of the foregoing Rule, a person shall be guilty of a corrupt, fraudulent or improper act or practice if he: —

- (1) Except with authorisation by the Veterinary Surgeon, administers or attempts to administer or allows or causes to be administered, or is found to have administered, or connives at the administration to a horse of any quantity of any prohibited substance; or
- (2) Uses or has in his possession any electric or electronic apparatus or improper contrivance or means capable of affecting the behaviour and/or performance of a horse in a race, barrier trial, stalls test or in track work; or
- (3) Without written permission of the Club, offers or gives any advantage to any jockey, trainer, person employed or engaged to provide services in a racing stable or in connection with a horse, Club Official or employee, owner or other participant in racing, or to an agent of any of the aforesaid persons, as an inducement or reward for or otherwise on account of that other person:
 - (i) Performing or abstaining from performing, or having performed or abstained from performing, any act in his capacity as a participant in racing;
 - (ii) Expediting, delaying, hindering or preventing, or having expedited, delayed or hindered or prevented the performance of an act, whether by that person or by any other person in his capacity as a participant in racing; or
 - (iii) Assisting, favouring, hindering or delaying or having assisted, favoured, hindered or delayed, any person in any matter in connection with the Club's racing operation or business.
- (4) Being a jockey, trainer, person engaged to provide services in a racing stable or in connection with a horse, owner or other participant in racing, without written permission of the Club, solicits or accepts or agrees to accept any advantage, whether directly or through an agent, as an inducement to or reward for or otherwise on account of his:
 - (i) Performing or abstaining from performing, or having performed or abstained from performing, any act in his capacity as a participant in racing;
 - (ii) Expediting, delaying, hindering or preventing, or having expedited, delayed or hindered or prevented the performance of an act, whether by that person or by any other person in his capacity as a participant in racing;

- (iii) Assisting, favouring, hindering or delaying or having assisted, favoured, hindered or delayed, any person in the transaction of any matter in connection with the Club's racing operation or business.
- (5) Wilfully enters or causes to be entered for any race or causes to start in any race, a horse which he knows or believes to be disqualified; or
- (6) Being an owner, nominator, licensed person or employee of The Hong Kong Jockey Club by advertisement, circular, letter or other means offers to give information concerning his own or other horses in return for any monetary or other consideration or who connives at such practice; or
- (7) Gives at any inquiry or appeal any evidence which in the opinion of the Stewards or the Stewards of the Jockey Club is false or misleading in any particular; or
- (8) Provides the Stewards, the Stewards of the Jockey Club, any Official or otherwise the Club with any false or misleading statement or declaration in respect of any matter in connection with the administration or control of racing; or
- (9) Is guilty of or conspires with any other person for the commission of or connives at any other person being guilty of any corrupt or fraudulent practice in relation to racing in any other country or is convicted of any criminal offence in relation to racing in any jurisdiction; or
- (10) Other than the registered owner or his Authorised Agent, both of whose names appear on the race card as owner or trainer, gives or attempts to give instructions regarding the running or riding of a horse in a race or the arrangements for training and racing it; or
- (11) As a licensed trainer accepts instructions as to any arrangements concerning the maintenance, training, entering and/or declaring in or for races, running and/or riding in races of a horse from anyone other than the registered owner whose name appears in the register maintained by the Stewards of the Jockey Club pursuant to these Rules; or
- (12) As a licensed jockey or apprentice accepts instructions concerning the maintenance, training, entering and/or declaring in or for races, running and/or riding in races of a horse from anyone other than the trainer or registered owner whose name appears in the register maintained by the Stewards of the Jockey Club pursuant to these Rules; or

- (13) As a licensed person or registered owner fails to report to the Stewards of the Jockey Club, or as they shall direct, any event or circumstances which comes to his knowledge and which would constitute a contravention of any of these Rules; or
- (14) Bets with or for a jockey or an apprentice or gives or offers a jockey any pecuniary or other gift or consideration contrary to these Rules; or
- (15) Places a bet on a horse to lose a race or to be beaten by any other horse or horses in the race.

Rule 152. When a person is warned-off and so long as he remains warned-off he is a disqualified person.

Rule 153. (1) Any person on whom a suspension or a disqualification has been imposed by any recognised horse racing, trotting, harness racing or greyhound authority is a suspended or disqualified person under these Rules so long as the suspension or disqualification continues unless the Stewards of the Jockey Club declare otherwise. Any person affected by the application of this Rule may apply to the Stewards of the Jockey Club for declaration of non-imposition by the Club provided that he has exhausted all avenues of appeal to the authority imposing the original award and provided that the application is made within 48 hours of final dismissal of appeal under the Rules of the imposing authority or, if no appeal is provided for, within 72 hours of the original award. Any application received after this time will be considered only if the applicant can show that it could not have been made within the time limit laid down in this Rule and that it was made at the earliest opportunity. Applications for non-imposition by the Club are to be made in writing to the Registry Office and must state the reasons why the penalty should not apply.

- (2) Any person who acts in any official capacity, enters, owns, trains or rides a horse entered or running at any unrecognised meeting may be penalised under these Rules including being disqualified for such time as the Stewards of the Jockey Club or the Stewards shall think fit.

Rule 154. A disqualified person so long as his disqualification lasts shall not: —

- (1) Act as a Steward or Official at any recognised meeting.
- (2) Act as Authorised Agent or Authorised Representative under these Rules.

- (3) Enter, run, train or ride a horse in any race at any recognised meeting, barrier trial, stalls test or in track work. If any entry made by a person disqualified or warned-off be mistakenly or inadvertently accepted, such acceptance shall be void, and the horse shall not be qualified to be entered or to start or participate in a barrier trial, stalls test or to undertake any track work.
- (4) Enter any racecourse, stand or enclosure, or any place where horses are stabled or are being trained.
- (5) Except with permission of the Stewards of the Jockey Club be employed in any capacity in any racing stable.
- (6) Associate with any owner, person licensed or employed by the Club in connection with horseracing.

Miscellaneous Offences

Rule 155. No person shall: —

- (1) Aid or abet the commission of any breach of these Rules.
- (2) Act or behave or conduct himself in any violent or improper manner on any land or premises owned, used, licensed or controlled by the Stewards or by the Stewards of the Jockey Club.
- (3) Act in a manner prejudicial to the integrity, proper conduct or good reputation of horse racing under the control and direction of the Club whether or not such conduct is associated with horse racing or constitutes a breach of any of these Rules.
- (4) Without the permission of the Stewards of the Jockey Club associate in connection with horse racing under the control and direction of the Club, with any person known to be warned-off or disqualified or known to have done any act likely to cause serious damage to the interests of horse racing under the control and direction of the Club, whether or not constituting a breach of these Rules.
- (5) Be guilty of any misconduct, improper conduct or inappropriate behaviour.
- (6) (i) Convey, relay, send, transmit or in any other form communicate betting odds from any racecourse on the days upon which any race meeting is being held, except with the permission of the Stewards.